

Life In Brief

Education:

- MBA, Georgetown University School of Business, 2002
- BA, Economics, Harvard University, 1990

Work History:

- Head of US Government Affairs, Invesco Ltd, 2018-Present
- Executive Vice President of Public Policy and Advocacy, Securities Industry and Financial Markets Association, 2014-2018
- Managing Director, US Office of Public Policy, UBS, 2009-2013
- Vice President of Government Relations, New York Stock Exchange, 2002-2009
- Managing Director of Government and International Affairs, American Airlines, 1998-2002
- Special Assistant to the President for Legislative Affairs, Clinton Administration, 1995-1997
- Budget Analyst, Senate Budget Committee
- Legislative Assistant, Fmr. Rep. Martin Frost (D-TX24), 1992-1994

Quick Summary

Veteran Washington advocate with a history of providing market-focused advice while working in Congress, the White House, and the private sector

 Blocker began his career in the public sector, serving as a legislative assistant to Fmr. Rep. Martin Frost (D-TX24), as a budget analyst for the Senate Budget Committee, and as special assistant to the president under Bill Clinton; contributed to the passage of the 1997 Bipartisan Balanced Budget Agreement

- Transitioned to the private sector, joining American Airlines in 1998; held several high profile government affairs positions, including vice president of government relations for the New York Stock Exchange, and Managing Director in the US Office of Public Policy for UBS where he led their efforts on the Dodd-Frank Act.
- Public and private sector experience informed his work at the industry trade group, Securities Industry and Financial Markets Association (SIFMA), where he led the government relations team working on issues impacting the financial services industry and investors, such as fiduciary and retirement rules, access to capital markets, capital and prudential regulations, and senior investor protections.
- As head of US government affairs for Invesco, Blocker drives the firm's legislative and regulatory advocacy initiatives and engages with clients and opinion leaders on public policy developments.
- Legislative and economic expertise inform Blocker's policy views; in the media, he discusses not only changes in the markets, but also general political trends, such as insights into the 2020 presidential election

Approach and Motivations

Blocker sits at the intersection of business and politics, providing his unique, balanced and thoughtful perspectives on the political environment, policy issues and their potential business and market impacts.

- Seeks to cut through the partisan divide to provide clear and accurate analysis of whats happening in Washington to help investors understand the current political and market landscape
- As a veteran of the Clinton White House, he has experience working across the aisle. Blocker



always looks for ways to have Democrats and Republicans work together in order to help move important initiatives and legislation forward.

- Career experience in the public and private sectors inform Blocker's vast political and economic knowledge. He gives a voice to Invesco's interests, as well as those of the investment management industry and its clients.
- Blocker utilizes his political savvy to comment on a wide range of issues, such as how the passing of Justice Ruth Bader Ginsburg may influence the 2020 election, while using his specific market-focused expertise to provide insights and inform investors

Relevant Financial Information

Contributed to the UBS political action committee while working in their office of public policy and to federal democratic candidates

Publications, Media and Speaking

Selected Works, Interviews, and Speeches: Political and policy expert reaching investmentfocused audiences through authoring timely Washington analysis pieces, speaking at industry events, and with regular appearances on national broadcast shows geared towards financial markets including CNBC, Bloomberg and YahooFinance.

- Invesco US Government Affairs Washington
 Newsletter, 2020.
- <u>Appearance on CNBC "Squawk on the Street"</u> discussing the political outlook and factors influencing the 2020 presidential election.
- <u>Appearance on Yahoo Finance</u> discussing how Ruth Bader Ginsburg's death will impact the election, 2020.

• <u>Spoke at the AdvisorHub Industry in Transition</u> event, 2020.

Notable Themes: Speeches focus on trends and the impact of political events on the markets; program hosts ask Blocker questions about the political landscape and what investors need to know

- Appears on news stations, such as CNBC, to discuss broad topics such as the state of the 2020 election, highlighting what information is crucial for investors
- Regularly draws a distinction between macro and micro changes in the markets; for instance, states that the outcome of the 2020 election will have limited macroeconomic impacts and that major changes will only be apparent at the micro level, such as in the health care and energy sectors

Professional Affiliations

- Women's Congressional Board Institute
 - o Emeritus Board Member 2017-Present
 - o Vice Chair 2015-2017
 - Member/Treasurer 2008-2015
- Board of Directors, Washington Tennis and Education Foundation (WTEF)